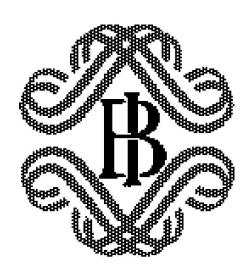
EXHIBIT 2A

G.I.A.V.A (Gestione Integrata Albi di Vigilanza e Anagrafi) Albi ed Elenchi di Vigilanza



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DETTAGLIO INTERMEDIARIO

ANAGRAFICA

Codice Meccanografico: 3589

Codice Meccanografico Casa Madre: N/A

Codice Fiscale: 09733300157

Denominazione: ALLIANZ BANK FINANCIAL ADVISORS S.P.A.

Direzione Generale: PIAZZALE LODI, 3

20137 MILANO

Sede Legale: PIAZZALE LODI, 3

20137 MILANO

Stato: ITALIA

Tipo Intermediario: BANCA

Classificazione: SPA

Periodo di validità: dal 2009-03-01 al 2014-01-26

DATI ANAGRAFE SOGGETTI

Codice Anagrafe Soggetti:

Denominazione:

Specie Giuridica:

Codice Fiscale: 09733300157

Attività Economica :

Numero CCIAA :

Sede Legale :

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DATI RIFERITI ALLA DATA: 2009-03-01

LISTA ALBI

Albo	Data iscrizione	Numero iscrizione	Data cencellazione	Causale cancellazione
ALBO DELLE BANCHE	1990-01-25	5035	9999-12-31	IN ESSERE

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DATI RIFERITI ALLA DATA: 2009-03-01

LISTA ATTIVITA' AUTORIZZATE / NOTIFICATE

Attività	Libera prestazione	Stato	Data autorizzazione / notifica	Data revoca / chiusura	Data inizio operatività	Data fine operatività
COLLOCAMENTO SENZA IMPEGNO IRREVOCABILE NEI CONFRONTI DELL'EMITTENTE	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
RICEZIONE E TRASMISSIONE DI ORDINI	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
ESECUZIONE DI ORDINI PER CONTO DEI CLIENTI	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
CONSULENZA IN MATERIA DI INVESTIMENTI	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
GESTIONE DI PORTAFOGLI	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
NEGOZIAZIONE PER CONTO PROPRIO	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
ASSUNZIONE A FERMO E/O COLLOCAMENTO SULLA BASE DI UN IMPEGNO IRREVOCABILE NEI CONFRONTI DELL'EMITTENTE	NO	ITALIA	2007-11-01	9999-12-31	2007-11-01	9999-12-31
DEPOSITO BENI DI TERZI OGGETTO DELLA GEST. PATR.	NO	ITALIA	1992-01-05	9999-12-31		
ATTIVITA BANCARIA	NO	ITALIA	1990-01-25	9999-12-31	1990-11-05	9999-12-31

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DATI RIFERITI ALLA DATA: 2009-03-01

LISTA COMPETENZE DI VIGILANZA

Tipo vigilanza	Servizio / Filiale	Divisione	Data inizio validità	Data fine validità
ACCENTRATA	SERVIZIO SUPERVISIONE INTERMEDIARI FINANZIARI	SERV. SUPERV. INTERM. SPECIALIZ DIV BANCHE SPECIALIZZATE	2008-08-01	2014-01-26

Filiale territoriale della Banca d'Italia: FILIALE DI MILANO

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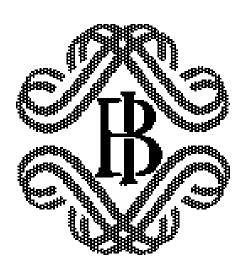
DATI RIFERITI ALLA DATA: 2009-03-01

LISTA CLASSIFICAZIONI

Sistema di Classificazione	Classificazione	Data inizio validità	Data fine validità
ITALIA/ESTERO	ITALIANO	1990-01-25	9999-12-31
CLASSIFICAZIONE SAE	SISTEMA BANCARIO	1990-01-25	9999-12-31
TIPO BANCA	SPA	1990-01-25	9999-12-31

EXHIBIT 2B

G.I.A.V.A (Gestione Integrata Albi di Vigilanza e Anagrafi) and Oversight Registers and Lists



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INTERMEDIARY REGISTER

DETAIL

Data Processing Code: 3589

Parent Company Data Processing Code: N/A

Tax ID Code: 09733300157

Name: ALLIANZ BANK FINANCIAL ADVISORS S.P.A.

General Directorate: PIAZZALE LODI, 3

20137 MILAN

Registered Office: PIAZZALE LODI, 3

20137 MILAN

Country: ITALY

Intermediary Type: BANK

Classification: SPA (Società per azioni [Joint stock company])

Period of Validity: from 03/01/2009 to 01/26/2014

SUBJECT REGISTER DATA

Subject Register Code:

Name:

Legal Type:

Tax ID Code: 09733300157

Economic Activity:

Chamber of Commerce Number:

Registered Office:

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DATES REFER TO DATE: 03/01/2009

REGISTER LIST

Register	Registration date	Registration number	Cancellation date	Cancellation reason
BANK REGISTER	01/25/1990	5035	12/31/9999 [sic]	ONGOING

DATES REFER TO DATE: DATES REFER TO DATE: 03/01/2009

AUTHORIZED/NOTIFIED ACTIVITY LIST

Activity	Freedom to provide services	Status	Authorization/notification date	Revocation/closure date	Operations start date	_
PLACEMENT WITHOUT FIRM COMMITMENT TO THE ISSUER	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
RECEIPT AND TRANSMISSION OF ORDERS	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
EXECUTION OF ORDERS ON BEHALF OF CLIENTS	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
INVESTMENT CONSULTING	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
PORTFOLIO MANAGEMENT	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
PROPRIETARY TRADING	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
UNDERWRITING AND/OR PLACEMENT ON FIRM COMMITTMENT BASIS TO THE ISSUER	NO	ITALY	11/01/2017	12/31/9999 [sic]	11/01/2017	12/31/9999 [sic]
DEPOSIT OF THIRD-PARTY ASSETS SUBJECT TO ASSET MANAGEMENT	NO	ITALY	01/05/1992	12/31/9999 [sic]		
BANKING ACTIVITY	NO	ITALY	01/25/1990	12/31/9999 [sic]	11/05/1990	12/31/9999 [sic]

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DATES REFER TO DATE: DATES REFER TO DATE: 03/01/2009

LIST OF OVERSIGHT COMPETENCIES

Oversight type	Department/Branch	Division	Validity start date	Validity end date
CENTRAL	FINANCIAL INTERMEDIARY SUPERVISION SERVICE	SERVICE SUPERV. INTERM. SPECIALIZ SPECIALIZED BANK DIV	08/01/2008	01/26/2014

Local Banca d'Italia Branch: MILAN BRANCH

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DATES REFER TO DATE: DATES REFER TO DATE: 03/01/2009

CLASSIFICATION LIST

Classification System	Classification	Validity start date	Validity end date
ITALY/ABROAD	ITALIAN	01/25/1990	12/31/9999 [sic]
SAE CLASSIFICATION	BANKING SYSTEM	01/25/1990	12/31/9999 [sic]
BANK TYPE	SPA (Società per azioni [Joint stock company])	01/25/1990	12/31/9999 [sic]



City of New York, State of New York, County of New York

I, Alyssa Mullally, hereby certify that the document "GIAVAInquiry_IntermediaryDetailExport_16119 (1)" is, to the best of my knowledge and belief, a true and accurate translation from Italian into English.

Alyssa Mullally

Sworn to before me this March 5, 2020

Signature, Notary Public

NO. 01PO8358754 QUALIFIED IN QUEENS COUNTY COMM. EXP. 04-03-2021 OF NEW Stamp, Notary Public